PTO-1390 (Rev. 07-2005)
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U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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| TRANSMITTAL LETTER TO THE UNITED STATES | ATTORNEY'S DOCKET NUMBER 01641/0204258-US0 | | | | | | |
|--|--|--|--|--|--|--|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) | | | | | | |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE | PRIORITY DATE CLAIMED | | | | | | |
| PCT/EP2004/014290 15 December 2004 | 19 December 2003 | | | | | | |
| TITLE OF INVENTION MICROLITHOGRAPHY PROJECTION OBJECTIVE WITH CRYSTAL LEN | S | | | | | | |
| APPLICANT(S) FOR DO/EO/US | | | | | | | |
| Karl-Heinz Schuster et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/I | US) the following items and other information: | | | | | | |
| 1. X This is a FIRST submission of items concerning a submission under 35 U. | • | | | | | | |
| This is a SECOND or SUBSEQUENT submission of items concerning a su | | | | | | | |
| 3. This is an express request to begin national examination procedures (35 U include items (5), (6), (9) and (21) indicated below. | S.C. 371(f)). The submission must | | | | | | |
| 4. x The US has been elected (Article 31). | | | | | | | |
| 5. x A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) | | | | | | | |
| a. is attached hereto (required only if not communicated by the International Bureau). | | | | | | | |
| b. x has been communicated by the International Bureau. | | | | | | | |
| c. is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | |
| 6. An English language translation of the International Application as filed (35 | 5 U.S.C. 371(c)(2)). | | | | | | |
| a is attached hereto. | | | | | | | |
| b. has been previously submitted under 35 U.S.C. 154(d)(4). | | | | | | | |
| 7. Amendments to the claims of the International Application under PCT Artic | ele 19 (35 U.S.C. 371(c)(3)) | | | | | | |
| a are attached hereto (required only if not communicated by the Internat | ional Bureau). | | | | | | |
| b. have been communicated by the International Bureau. | | | | | | | |
| c. have not been made; however, the time limit for making such amendm | c. have not been made; however, the time limit for making such amendments has NOT expired. | | | | | | |
| d. have not been made and will not be made. | | | | | | | |
| An English language translation of the amendments to the claims under PC | CT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | |
| 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | |
| 10. An English language translation of the annexes of the International Prelimi Article 36 (35 U.S.C. 371(c)(5)). | nary Examination Report under PCT | | | | | | |
| Items 11 to 20 below concern document(s) or information included: | | | | | | | |
| 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | |
| 12. An assignment document for recording. A separate cover sheet in complia | ance with 37 CFR 3.28 and 3.31 is included. | | | | | | |
| 13. x A preliminary amendment including redline version of specification. | | | | | | | |
| 14. x An Application Data Sheet under 37 CFR 1.76. | | | | | | | |
| 15. x A substitute specification. | | | | | | | |
| 16. A power of attorney and/or change of address letter. | | | | | | | |
| 17. A computer-readable form of the sequence listing in accordance with PC | CT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 – 1.825. | | | | | | |
| 18. A second copy of the published International Application under 35 U.S.C | C. 154(d)(4). | | | | | | |
| 19. A second copy of the English language translation of the international ap | oplication under 35 U.S.C. 154(d)(4). | | | | | | |
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| U.S. APPLICATION | U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. PCT/EP2004/014290 | | | ATTORNEY'S DOCKET NUMBER 01641/0204258-US0 | | | | | |
|---|--|----------------------|--|---|-------------------|--------------------------|----|--|--|
| 20. Other items or information: | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| The foll- | owing fees hav | e been submitte | ed | | | CALCULATIONS PTO USEONLY | | | |
| 21. x Basic | c national fee (3 | 7 CFR 1.492(a |)) | | \$300 | \$ 300.0 | 00 | | |
| 22. Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | | \$ | | | |
| 23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority \$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB. \$400 All other situations \$500 | | | | | | \$ | | | |
| , iii suroi situation | TOTAL OF 21, 22 | | | | | \$ 300.00 | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
| Total Sheets | Extra Sheets | | additional 50 or fraction up to a whole number) | | RATE | | | | |
| 26 -100= | /50 = | /50 = x \$250.00 | | | \$ | | | | |
| Surcharge of \$130 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)). | | | | \$ | | | | | |
| CLAIMS | | MBER FILED | NUMBER EXTRA | ļ | RATE | | | | |
| Total clain | | 27 - 20 = 3 - 3 = | 7 | × | 50.00 | | | | |
| <u> </u> | ENDENT CLAIM(S | | | + | 360.00 | - | | | |
| | | - | TOTAL OF A | BO | VE CALCULATIONS = | \$ 300.00 | | | |
| Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | | | | | |
| SUBTOTAL = | | | | | \$ 300.00 | | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). | | | | | \$ | | | | |
| TOTAL NATIONAL FEE = | | | | | \$ 300.00 | | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | \$ | | | | | |
| | | | | | \$ | | | | |
| TOTAL FEES ENCLOSED = | | | | | \$ | 300.00 | | | |
| | | | | | | Amount to be refunded: | \$ | | |
| | | | | | | Amount to be charged | \$ | | |
| | | | | | | | | | |

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